| SEC Form 4   |  |   |                            |                                |                 |               |                              |   |  |   |
|--|--|---|----------------------------|--------------------------------|-----------------|---------------|------------------------------|---|--|---|
|  |  | ES SECURITI<br>Wash   | ington,<br>ES I            | D.C. :<br><b>N B</b><br>ne Sec | 20549<br>ENEFIC | IAL C         | OWNER                        | SHIP  | OMB APP<br>DMB Number:<br>Estimated average la<br>nours per response | 3235-0287<br>burden   |
| 1. Name and Address of Reporting Person*<br>OCAMPO JOHN L                          |  | 2. Issuer Name and T<br>MACOM Tech<br>Inc. [ MTSI ]           | Ficker o                   | r Trad                         | ing Symbol      |               | 5. R<br>(Che                 | elationship of Rep<br>eck all applicable)<br>Ø Director<br>Officer (give  | X 109<br>title Oth   | % Owner<br>ner (specify   |
| (Last) (First) (M<br>C/O MACOM TECHNOLOGY SOL<br>HOLDINGS<br>100 CHELMSFORD STREET |  | 3. Date of Earliest Tra<br>09/03/2021<br>4. If Amendment, Dat |                            |                                |                 | ,             |                              | below)<br>dividual or Joint/G   |  | ow)   |
|  | 1851                                     | 4. il Amendinent, Dat   |                            | ginari                         |                 | Dayrea        | Line                         | )<br>Form filed by  | / One Reporting F<br>/ More than One                                 | Person  |
|  | <sup>ip)</sup>                           | ive Securities A  | cauir                      | ed. C                          | Disposed        | of. or l      | Beneficial                   | lv Owned  |  |   |
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Yea | 2A. Deemed<br>Execution Date,                                 | 3.<br>Transa<br>Code<br>8) | action                         | 4. Securitie    | s Acquire     |                              | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                           |
|  |  |   | Code                       | v                              | Amount          | (A) or<br>(D) | Price                        | Transaction(s)<br>(Instr. 3 and 4)  | (Instr. 4)   | (Instr. 4)  |
| Common Stock   | 09/03/2021                               |   | S <sup>(1)</sup>           |                                | 6,840           | D             | \$64.09(2)                   | 9,121,386 <sup>(3)</sup>  | Ι  | By trusts<br>for<br>children <sup>(4)</sup>                                   |
| Common Stock   | 09/07/2021                               |   | S <sup>(1)</sup>           |                                | 48,183          | D             | <b>\$64.1</b> <sup>(5)</sup> | 9,073,203 <sup>(6)</sup>  | Ι  | By trusts<br>for<br>children <sup>(4)</sup>                                   |
| Common Stock   |  |   |                            |                                |                 |               |                              | 8,358,142   | I  | By<br>Ocampo<br>Family<br>Trust-<br>2001 <sup>(4)</sup>                       |
| Common Stock   |  |   |                            |                                |                 |               |                              | 500,000   | I  | By John<br>Ocampo<br>2021<br>Charitable<br>Remainder<br>Trust <sup>(4)</sup>  |
| Common Stock   |  |   |                            |                                |                 |               |                              | 500,000   | I  | By Susan<br>Ocampo<br>2021<br>Charitable<br>Remainder<br>Trust <sup>(4)</sup> |
| Tat  |  | e Securities Aco<br>s, calls, warrant                         |                            |                                |                 |               |                              | v Owned   |  |   |

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (I<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo<br>of (D)<br>(Instr<br>and 5 | rative<br>rities<br>ired<br>r<br>osed | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | Deriv | unt of<br>rities<br>rlying<br>ative<br>rity (Instr. | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|-------------------------------|---|--|---------------------------------------|--|--------------------|-------|---|---|--|--|--|
|   |   |  |   | Code                          | v | (A)  | (D)                                   | Date<br>Exercisable                            | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares              |   |  |  |  |
| 1 Nome er   |   | Departing Dereen*                          |   |                               |   |  |                                       |  |                    |       |   |   |  |  |  |

1. Name and Address of Reporting Person\* OCAMPO JOHN L

| (Last)     | (First)     | (Middle)  |
|------------|-------------|-----------|
| (Last)     | (1130)      | (midule)  |
| C/O MACOM  | TECHNOLOGY  | SOLUTIONS |
| HOLDINGS   |             |           |
| 100 CHELMS | FORD STREET |           |

| (Street)                           |                         |           |  |
|------------------------------------|-------------------------|-----------|--|
| LOWELL                             | MA                      | 01851     |  |
| (City)                             | (State)                 | (Zip)     |  |
| 1. Name and Ad                     | dress of Reporting Pers | son*      |  |
| <b>OCAMPO</b>                      | SUSAN                   |           |  |
|                                    |                         |           |  |
| (Last)                             | (First)                 | (Middle)  |  |
|                                    | . ,                     | ( )       |  |
| C/O MACOM                          | A TECHNOLOGY            | . ,       |  |
| C/O MACON<br>HOLDINGS              | A TECHNOLOGY            | . ,       |  |
| HOLDINGS                           | A TECHNOLOGY            | . ,       |  |
| HOLDINGS                           |                         | . ,       |  |
| HOLDINGS                           |                         | . ,       |  |
| HOLDINGS<br>100 CHELMS             |                         | . ,       |  |
| HOLDINGS<br>100 CHELMS<br>(Street) | SFORD STREET            | SOLUTIONS |  |

1. The shares were sold pursuant to a sales plan intended to comply with Rule 10b5-1 under the Securities Exchange Act of 1934.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$64.00 to \$64.35. The Reporting Person undertakes to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

3. 2,843,942 of such shares are held by a trust for the Reporting Persons' son; 3,138,722 of such shares are held by a trust for the Reporting Persons' daughter; and 3,138,722 of such shares are held by a trust for the Reporting Persons' son.

4. The Reporting Persons are trustees of the Ocampo Family Trust-2001, the John Ocampo 2021 Charitable Remainder Trust, the Susan Ocampo 2021 Charitable Remainder Trust, and each of the following three trusts for the benefit of their children: (i) a trust for their son; (ii) a trust for their daughter; and (iii) a trust for their son.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$64.00 to \$64.40. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

6. 2,817,173 of such shares are held by a trust for the Reporting Persons' son; 3,128,015 of such shares are held by a trust for the Reporting Persons' daughter; and 3,128,015 of such shares are held by a trust for the Reporting Persons' son.

**Remarks:** 

<u>/s/ Ambra R. Roth, Attorney-</u> in-Fact for John Ocampo and 09/08/2021 Susan Ocampo

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.